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13. SUPPLEMENTARY NOTES

14. ABSTRACT

This project seeks to understand the role that aberrant eye-movements and gaze patterns in ASD may play in the organization of visual processing mechanisms and how the basic retinotopic maps in early visual cortices may develop atypically as a consequence of these anomalies in gaze. The underlying premise is that basic sensory processing anomalies may be related to what at first blush appear to be social deficits - e.g. not looking a peer in the eye during communication. Work using high-density event-related potentials (ERPs) has revealed atypical visual adaptation functions in Autism, again pointing to basic sensory processing anomalies in this population. Our research team is developing precise retinotopic mapping procedures using functional neuroimaging, procedures appropriate for detailed mapping of early visual maps in the often challenging-to-test ASD pediatric population.

15. SUBJECT TERMS

Autism, Visual Adaptation, Retinotopy, Social Communication, Eye-movements, fMRI, EEG, ERP.

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Introduction

Impairments in social interaction are a hallmark symptom of Autism, and the lack of appropriate eyecontact during interpersonal interactions is an oft-noted feature of this population. We and others have observed that it is not only during social interactions that individuals with an ASD show aberrant fixation patterns, raising the possibility of much more fundamental deficits in the sensory-motor integrations necessary to accurately move one's gaze around. Using electrophysiological techniques, our group has shown that reduced accuracy in oculomotor control leads to changes in cortical representations of visual space (Frey et al., 2013). The current project aims to understand how eyemovement anomalies in ASD may relate to sensory-perceptual alterations and social difficulties in this population. We employ both high-density electrophysiology and functional neuroimaging measures in conjunction with measures of eye-movement precision to assess fundamental visual processing in ASD. We are developing more accurate measures to use functional imaging to map out, with high fidelity, the retinotopic representations of visual space along the visual processing hierarchy. In this progress report, we detail work on the two main experiments in the project. The first details electrophysiological results from an experiment using high-density electrophysiology to assay visual sensory adaptation functions in the hierarchically early regions of the visual processing hierarchy in ASD. The second details our work to establish a functional imaging capability to address the retinotopic representation of space in ASD.

Keywords

Autism, Visual Adaptation, Retinotopy, Social Communication, Eye-movements, fMRI, EEG, ERP.

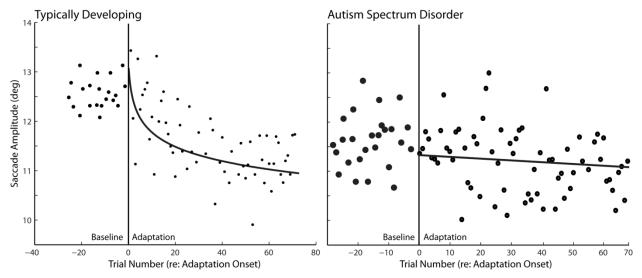
Accomplishments

Subtask 1: Program and test experimental paradigms.

- 1) Experimental paradigms to test saccade accuracy and saccade adaptation have been developed and piloted successfully.
- 2) A paradigm to assess visual sensory processing, specifically visual adaptation functions to repeated checkerboard stimuli, has been implemented. Efforts to develop a retinotopic visual-evoked potential task that children with an ASD can easily execute are still in process.
- 3) We have now successfully implemented a sensitive assay of retinotopic mapping in early visual cortical regions using functional magnetic resonance imaging.

Subtask 2: Record visually guided saccade tasks and eye-movements on social stimuli.

A paradigm to test visually guided saccade adaptation has been developed and piloted successfully in individuals with an ASD. Continued refinements to this paradigm as a function of preliminary data are in process. Below is an example of the differences we have seen. On the left is an example of saccade amplitude adaptation in a TD subject. After making a series of saccades to a target location (left of the vertical line) adaptation trials are started. This involves moving the target in by 3 degrees of visual angle while the participants eyes are "in flight" to the original target location – i.e. while the participant is functionally blind. Over the course of~30 adaptation trials, saccade amplitude systematically declines, reducing the imposed visual error. On the right are the results of a similar session with an ASD subject. Here the control movements are significantly hypometric and the endpoint variability is higher than in the TD subject. After adaptation trials begin there is little or no change in saccade amplitude over the 70 adaption trials presented. Although preliminary, these results are consistent with the general hypothesis that altered cerebellar activity and aberrant early visual mapping in ASD might lead to a failure of normal sensorimotor adaptation.



Subtask 3: Obtain social skill rating scale measures for all participants.

Social skills data are obtained for all participants with an ASD entering studies at the Cognitive Neurophysiology Laboratory as a routine matter.

Subtask 4: Record electrophysiological measures of visual processing

Atypical visuo-sensory representations in children with an autism spectrum disorder as assessed by high density electrical mapping. Sensory processing issues are prevalent in the autism spectrum (ASD) population, and sensory adaptation can be a potential biomarker - a measurable difference in neuronal activity that is unique for that clinical population, such as hypo- and hyper-sensitivity to sensory stimulation in the ASD population, or those at risk. Sensory adaptation is a reduction in response amplitude to repeated presentations of a stimulus, which likely represents a filter for

redundant sensory stimulation. For this reason, we compared the visual evoked potentials (VEP) of children on the autism spectrum (N=24) with those of neurotypical controls (N=48). In a continuous block paradigm, we used checkerboard patterned stimuli presented continuously with varying interstimulus intervals (ISI) of 200 milliseconds (ms), 300 ms, 550 ms, 1050 ms, and 2550 ms, with participants instructed to maintain focus on a central fixation cross. High density electroencephalogram (EEG) was used to acquire the electrophysiological measurements, followed by epoch averaging to produce VEP waveforms. Habituation to the visual stimulus, as measured by the mean peak amplitudes, with respect to the ISI was observed at the parietal-occipital electrode sites (F (8, 72) = 5.063, p < 0.001) over the 170 to 190 ms temporal window. There was an observed diagnosis X ISI interaction effect at a latency of 140 to 160 ms between the clinical and typically developed groups (F (1, 4) = 2.846, p <.05). VEP waveforms indicate atypical sensory habituation in the ASD population. Atypical sensory habituation can be a potential biomarker for this clinical population, while further explaining differences in perception of visual sensory information between typically developed children and ASD children.

Subtask 5: Record functional neuroimaging data

We set out to record detailed retinotopic maps of early visual cortices in ASD using functional magnetic resonance imaging. In initial pilot work, it became clear that our eye-tracking system in the magnet environment was inadequate to the task and that we could not reliably produce maps that we had confidence in in this vulnerable and movement-prone population. As a result, we have worked with the Dean's office at Einstein and the leadership in our MRI center to evaluate, purchase and implement a new system that has much greater fidelity and accuracy. At the time of writing, we have successfully acquired detailed maps on one participant. (see figure below) that are of a resolution that will allow us to address our original hypothesis.

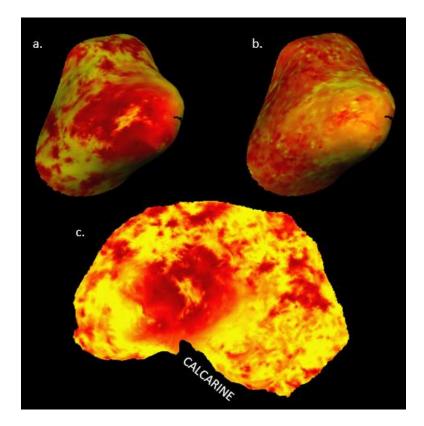


Figure 1. Panel A represents an eccentricity map projected on to an inflated left posterior occipitakl cortex. The top represents dorsal visual cortex, the left is the lateral surface and the bottom is the ventral surface. The black lineto the right of the apex is the calcarine sulcus. Panel B represents a derivation of receptive field size with the same plotting convention. Panel C represents the same eccentricity map as in A, but the cortical manifold has been flattened, by "opening" the 3D surfae along the calcarine sulcus (bottom of map as indicated). This the bottom of the depiction is medial visual cortex and the top is the lateral occipital lobe.

Impact

This project aims to examine the relation between atypicalities in eye-movement control and visual perception as well as social deficits in ASD. If it turns out that these constructs are related, it would provide an avenue to use simple eye-movement training in order to improve atypicalities of gaze in individuals with ASD. Our basic premise is that improved eye-movement control should affect the efficacy of visual processing, which in turn should influence social interaction. Such eye-movement training could complement existing social training techniques. Of course, it is impossible to infer directionality from a correlative measure, but it seems considerably more likely that low-level perception influences complex behaviors than the other way around. If low-level perceptual measures are not related to social deficits, then this study will provide valuable information about a condition cooccurring with ASD. For example, it allows us to examine whether the amount of eye-movement deficit relates directly to changes in visual processing. Therefore this study will lead to important insights about autism and the heterogeneity of its representation. We believe that the results of this project will provide very important information to the field of autism research. Functional magnetic resonance imaging, system identification approaches for EEG, eye-tracking, and psychophysical experiments as well as combinations thereof will examine different aspects, from oculomotor control to multisensory processing to social interactions. Bringing together these separate lines of research, this project will tap into the (inter)-relation between different domains in which individuals with an ASD exhibit atypicalities and will aide in the creation of novel hypotheses regarding social deficits in ASD.

Changes/Problems

In piloting the original functional imaging design to map retinotopic regions of visual cortex in participants with an ASD, the limitations of our existing MRI–compatible eye-tracking system to adequately monitor fixation and eye-movements with the necessary level of precision became apparent. We consulted with the Dean's office and leadership of the Gruss Magnetic Resonance Imaging Center at Einstein and have since purchased and installed a new and much more capable system. A VisualStim Digital System (Stereo) MRI compatible eye-tracker was acquired (\$87,985) and installed using university funds and is now being used to collect data on eye-movements of participants in the scanner. This technology is essential for the investigation of brain processes underlying visual maps, especially when involving participants with ASD, and is allowing for much more detailed maps of visual retinotopy as proposed in our original project.

Participants & Other Collaborating Organizations

Name: John J. Foxe, PhD Project Role: Principal Investigator

Nearest person month worked: 2

Contribution to project: Dr. Foxe contributed to the development of experiments and

analysis and interpretation of preliminary data.

Funding support: see list below (in addition to current grant)

Type: R01HD082814-01A1

Role: Co-Investigator (PI: Dr. Sophie Molholm)

Agency: NIH

Title: Sensory Integration Therapy in Autism: Mechanisms and Effectiveness

Type: Pilot Research Grant

Role: Co-PI

Agency: National Multiple Sclerosis Society

Title: Biomarkers of impaired dual-task walking abilities in multiple sclerosis: A Mobile Brain-

Body Imaging (MOBI) Study

Type: NICHD P30 HD071593

Role: Associate Director of RFK Center (w/ Prof. Steven Walkley)

Agency: NICHD

Title: Support for the Rose F. Kennedy IDD Research Center

Type: The Wallace Research Foundation Grant **Role:** Principal Investigator (w/ Dr. Sophie Molholm)

Agency: Wallace Research Foundation

Title: The Neurophysiology of Multisensory Integration in Sensory Processing Disorder

Type: BCS-1228595

Role: Principal Investigator (w/ Dr. Sophie Molholm)

Agency: NSF

Title: Oscillatory control of selective attention: leveraging white matter microstructure &

electrophysiology

Type: Rubenstein Foundation Award

Role: Principal Investigator Agency: Rubenstein Foundation

Title: Neurophysiological Endophenotypes of Psychiatric Disorders

Type: The Nathan Gantcher Foundation Grant **Role:** Principal Investigator (w/ Dr. Sophie Molholm)

Agency: Nathan Gantcher Foundation

Title: Neural Connectivity, Multisensory Integration, and Genetic Risk Factors for

Developmental Dyslexia

Type: PepsiCo

Role: Principal Investigator

Agency: PepsiCo

Title: An Electrophysiological Investigation of the Effects of Morning Nutrition on Cognitive

Performance

Name: Lars A. Ross, PhD Project Role: Co-Investigator

Nearest person month worked: 4

Contribution to project: Dr. Ross managed data collection, contributed to the development

of experiments, and worked with Dr. Foxe to develop data analysis

strategies.

Funding support: The Wallace Research Foundation (listed above; in addition

to current grant)

Note: Dr. Ross has been assigned the role of Co-Investigator, originally

assigned to Dr. Hans-Peter Frey.

Appendices

References

1. Frey, H. P., Molholm, S., Lalor, E. C., Russo, N. N., & Foxe, J. J. (2013). Atypical cortical representation of peripheral visual space in children with an autism spectrum disorder. *European Journal of Neuroscience*, 38(1), 2125-2138.